



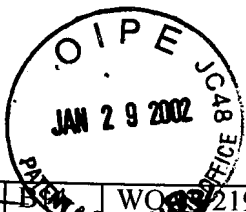
FORM PTO-1449 (Modified)	ATTY. DOCKET NO. H0535/7009	SERIAL NO. 09/359,920
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		
	APPLICANT Howard Green, et al.	
	FILING DATE July 22, 1999	GROUP 16

U.S. PATENT DOCUMENTS

Exam Init	Ref Des	Document No.	Date	Name	Class	Sub Class	FILING OFFICE If Appropriate
om	A1	4,532,207	07/30/85	Brewer, et al.	435	68	
om	A2	4,631,190	12/23/86	Shen, et al.	424	85	
om	A3	4,701,521	10/20/87	Ryser, et al.	530	322	
om	A4	4,847,240	07/11/89	Ryser, et al.	514	12	
om	A5	4,880,911	11/14/89	Brewer, et al.	530	351	
om	A6	5,162,505	11/10/92	Dean, et al.	530	391.5	
om	A7	5,354,844	10/11/94	Beug, et al.	530	345	
om	A8	5,716,614	02/10/98	Katz, et al.	424	74.3	
om	A9	5,783,178	07/21/98	Kabanov, et al.	424	78.31	
om	A10	6,306,993 B1	10/23/01	Rothbard, et al.	520	304	
om	A11	6,303,752	10/16/01	Olsen, et al.	530	350	
om	A12	5,633,230	04/27/97	Twist, et al.	514	15	
om	A13	5,646,120	07/08/97	Sumner-Smith, et al.	514	14	
om	A14	5,674,849	10/07/97	Twist, et al.	514	15	
om	A15	5,789,531	08/04/98	Sumner-Smith, et al.	530	328	
om	A16	5,795,909	08/18/98	Shashoua, et al.	514	449	
om	A17	5,831,001	11/03/98	Twist, et al.	530	328	

FOREIGN PATENT DOCUMENTS

	Country & Doc. No. (11)	Pub. Date (43)		Class	Sub Class	Translation Yes No
om	B1	WO 91/09958	07/11/91	PCT		
om	B2	WO 93/04701	03/18/93	PCT		
om	B3	WO 96/21036	07/11/96	PCT		
om	B4	WO 97/40854	11/06/97	PCT		
om	B5	WO 98/52614	11/26/98	PCT		
om	B6	WO 01/13957	03/01/01	PCT		
om	B7	WO 01/62297	08/03/01	PCT		
om	B8	0 009 498 B1	01/16/79	EP		
om	B9	0 599 303 A2	11/25/93	EP		
om	B10	2,094,658	04/22/93	CA		
om	B11	WO 97/33552	09/18/97	PCT		
om	B12	WO 95/11038	04/27/95	PCT		
om	B13	WO 94/14464	07/07/94	PCT		



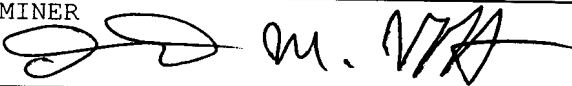
am	B15	WO 92/21941	11/11/93	PCT				
am	B15	WO 92/07871	05/14/92	PCT				

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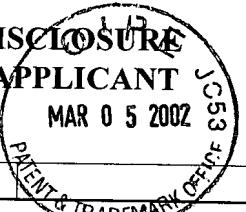
OTHER ART

(Including Author, Title, Date, Pertinent Pages, Publication, Etc.)

am	C1		Asayama, et al. "Synthesis of Novel Polyampholyte Comb-Type Copolymers Consisting of a Poly(L-lysine) Backbone and Hyaluronic Acid Side Chains for a DNA Carrier," Bioconjugate Chem., 1998, Vol. 9, pp. 476-481.
am	C2		Maruyama, et al. "Nanoparticle DNA Carrier with Poly(L-lysine) Grafted Polysaccharide Copolymer and Poly(D,L-lactic acid)," Bioconjugate Chem., 1998, Vol 8, pp. 735-742.
am	C3		Maruyama, et al. Communications "Comb-Type Polyelectrolytes Effectively Stabilize DNA Triplex," Bioconjugate Chem. 1997, Vol. 8, pp. 3-6.

EXAMINER		DATE CONSIDERED	4/19/02
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/359,920	ATTY. DOCKET NO.: H0535/7009
INFORMATION DISCLOSURE STATEMENT BY APPLICANT 		FILING DATE: July 22, 1999	
		APPLICANT: Howard Green, et al.	
		GROUP ART UNIT: 1651	EXAMINER: D. Naff
Sheet		of	

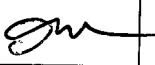

U.S. PATENT DOCUMENTS

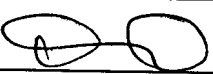


Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYY
		Number	Kind Code		

FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	
	C4	Wagner, et al. "Transferrin-polycation conjugates as carriers for DNA uptake into cells," Proc. Natl. Acad. Sci. USA, 1990, Vol. 87, pp. 3410-3414		
	C5	Lemaitre, et al. "Specific antiviral activity of a poly (L-lysine)-conjugated oligodeoxyribonucleotide sequence complementary to vesicular stomatitis virus N protein mRNA initiation site," Proc. Natl. Acad. Sci. USA, 1987, Vol. 84, pp. 648-652 Abstract		

EXAMINER	  	DATE CONSIDERED	4/19/02
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

OTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided the earlier application.]

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APPLICANT Howard Green, et al.		
FILING DATE July 22, 1999		GROUP 1651

U.S. PATENT DOCUMENTS

Exam Init	Ref Des	Document No.	Date	Name	Class	Sub Class	FILING DATE If Appropriate

FOREIGN PATENT DOCUMENTS

		Country & Doc. No. (11)	Pub. Date (43)		Class	Sub Class	Translation Yes No
	BV	WO 97/41215	11/06/97	PCT			
	BW	WO 99/36570	07/22/99	PCT			

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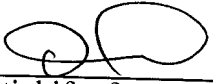

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OTHER ART

(Including Author, Title, Date, Pertinent Pages, Publication, Etc.)

CCA	International Search Report for PCT/US00/20211, mailed November 15, 2000

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 	DATE CONSIDERED 5/18/01
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ough citation if not in conformance and not considered.